Under the Paperwork Reduction Act of 1994, no persons are required to respond to a collection of information unless it displays a valid OMB coated number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.: 2003-1740

First Named Inventor: Masahiro UEKAWA et al.

Title: OPTICAL MEMBER WITH HANDLING PORTION AND METHOD FOR MANUFACTURING OPTICAL MEMBER AND METHOD FOR MOUNTING OPTICAL MEMBER AND OPTICAL MODULE

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Commissioner for Patents ADDRESS TO: P.O. Box 1450

Alexandria, VA 22313-1450

7. [] CD-ROM or CD-R in duplicate, large table or computer

program (Appendix)

8. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. [] Computer Readable Form
- b. Specification Sequence Listing on:
 - i. [] CD-ROM or CD-R (2 copies); or
 - ii. [] Paper
- c. [] The paper and computer readable copies are identical

[X] Fee Transmittal Form (Submit an original, and a duplicate for fee processing)

Small Entity Status is hereby asserted. 2. []

3. [X] Specification

[Total Pages: 76] (preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. [X] Drawing(s) (35 USC 113) [Total Sheets: 15]

5. [X] Oath or Declaration

[Total Pages: 4]

- a.1. [] Newly executed (original or copy)
 - a.2. [] Unexecuted
 - [X] Copy from a prior application(37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
 - i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet (see 37 CFR 1.76)

ACCOMPANYING APPLICATION PARTS

- [] Assignment Papers (cover sheet & document(s))
- [] 37 CFR 3.73(b) Statement (when there is an assignee)
 - [] Power of Attorney
- 11. [] English Translation Document (if applicable)
- 12. [X] Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
- [X] Preliminary Amendment
- 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized
- 15. [] Certified Copy of Priority Document(s)

(if foreign priority is claimed)

16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. [X] Other Claim of Priority under 35 U.S.C. 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

[] Continuation

[X] Divisional

[] Continuation-in-part (CIP)

of prior application No. 10/136,326

Prior Application Information Examiner Ricky Levern Mack

Group Art Unit 2873

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 🚯 is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

Nils E. Pedersen Registration No. 33,145

WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax:(202) 721-8250

December 2, 2003

TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT **ACCOUNT NO. 23-0975**

AN AICCIONEE LO ALITHOPIZED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masahiro UEKAWA et al.

Serial No. NEW : Attn: APPLICATION BRANCH

Filed December 2, 2003 : Attorney Docket No. 2003-1740

OPTICAL MEMBER WITH HANDLING PORTION AND METHOD FOR MANUFACTURING OPTICAL MEMBER AND METHOD FOR MOUNTING OPTICAL MEMBER AND OPTICAL MODULE (Rule 1.53(b) Divisional of Serial No. 10/136,326, Filed May 2, 2002)

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1,542.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application\$770.00

Additional Claims Fee:

 Excess of Twenty
 \$342.00

 Independent
 \$430.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Masahiro UEKAWA

By

Nils E. Pedersen

Registration No. 33,145 Attorney for Applicants

NEP/krg

WENDEROTH, LIND & PONACK, L.L.P.

2033 K St., N.W., Suite 800

Washington, D.C. 20006-1021 Telephone (202) 721-8200

December 2, 2003

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

[Check No. 5877

2003 1740